

JCWSCS 01 NOV 2004

11/01/2004 10:55 FAX 212 398 0681

OSTROLENK, FABER

001

**OSTROLENK, FABER, GERB & SOFFEN, LLP**

1180 AVENUE OF THE AMERICAS, NEW YORK, NEW YORK 10036-8403

TEL 212 382 0700 FAX 212 382 0888 FAX 212 398 0681

email@ostrolenk.com

**PARTNERS**

SAMUEL H. WEINER  
ROBERT C. FABER  
MAX MOSKOWITZ  
JAMES A. FINDER  
WILLIAM O. GRAY, III  
LOUIS C. DUMICH  
CHARLES P. LAPOLLA

DOUGLAS A. MIRO  
ALFRED R. FABRICANT  
LAWRENCE C. DRUCKER

**ASSOCIATES**

PETER S. SLOANE  
MARY G. FONTENOT  
JOEL J. FELBER\*\*  
BRENDAN J. KENNEDY\*\*  
KOUROSH SALIH\*\*  
DOUGLAS Q. HAHN  
GLEN R. FARDANISII

**OF COUNSEL**

MARTIN PFEFFER  
LEON ZITVER\*  
LAWRENCE A. HOFFMAN  
MARTIN J. BERAN  
PAUL GRANDINETTI\*  
JOSEPH J. ZITO\*  
KLAUS P. STOFFEL  
MARK A. FARLEY

**WASHINGTON OFFICE**

1725 K STREET, N.W.  
WASHINGTON, D.C. 20006  
TEL 202 457 7785  
FAX 202 429 8919

\*DC BAR  
\*\*CONNECTICUT BAR

**FACSIMILE TRANSMITTAL SHEET**

DATE: November 1, 2004

NUMBER OF PAGES, INCLUDING COVER: 3

TO:

NAME/COMPANY	FACSIMILE NO.	
Office of Initial Patent Examination's Filing Receipt Corrections	1-703-746-9195	<input type="checkbox"/> SUCCESSFULLY FAXED

FROM: James A. Finder

OFPS FILE NO.: P/2699-32

RETURN TO: Marlene Diaz

**IF YOU DID NOT RECEIVE ALL THE PAGES, PLEASE PHONE (212) 382-0700 AS SOON AS POSSIBLE.**

This message is intended only for the use of the individual(s) to which it is addressed, and may contain information that is privileged, confidential or exempt from disclosure under applicable law. If the reader of this message is not an intended recipient, or the employee or agent responsible for delivering the message to an intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address by mail. Thank you.

**MESSAGE:**

Re: OFPS Ref: P/2699-32  
U.S. Patent Application No. 10/767,756  
Filed: January 28, 2004  
Inventors: Masanobu SATO, *et al.*  
Title: SUBSTRATE TREATMENT METHOD AND SUBSTRATE TREATMENT APPARATUS

Dear Sir/Madam:

Attached please find the Official Filing Receipt with changes noted thereon for the above-identified matter. It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

Thank you.

James A. Finder  
Reg. No. 30173

(page 2)

BEST AVAILABLE COPY

Page 1 of 2



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/767,756	01/28/2004	2813	770	P/2699-32	13	13	3

CONFIRMATION NO. 5571

000002352

OSTROLENK FABER GERB &amp; SOFFEN

1180 AVENUE OF THE AMERICAS

NEW YORK, NY 100368403

CORRECTED FILING RECEIPT



\*OC000000013313994\*

Date Mailed: 07/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masanobu Sato, Kyoto, JAPAN;

Sadao Hira, Kyoto, JAPAN;

Shuichi Yasuda, Kyoto, JAPAN;

## Assignment For Published Patent Application

Dainippon Screen Mfg. Co., Ltd.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2003-145267 05/22/2003

JAPAN 2003-151249 05/28/2003

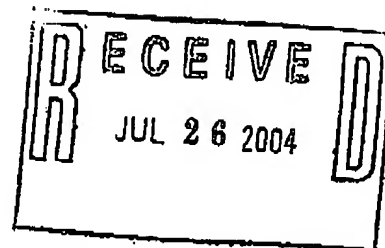
If Required, Foreign Filing License Granted: 06/17/2004

Projected Publication Date: 11/25/2004

Non-Publication Request: No

Early Publication Request: No

Title



*substrate*Substrate treatment method and ~~substrate~~ treatment apparatus

Preliminary Class

438

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).